IN THE UNITED STATES DISTRICT COURT 1 FOR THE CENTRAL DISTRICT OF ILLINOIS 2 3 MANAGEMENT PLAN FOR COURT 4 REPORTING SERVICES FOR THE STANDING ORDER: CDIL-2 CENTRAL DISTRICT OF ILLINOIS 5 6 The Official Court Reporter Plan dated December 21, 1987 is vacated, and the following 7 plan is substituted as the Plan for the Central District of Illinois. 8 9 MANAGEMENT PLAN FOR COURT REPORTING SERVICES IN THE UNITED STATES DISTRICT COURT 10 FOR THE CENTRAL DISTRICT OF ILLINOIS 11 INTRODUCTION. Α. 12 The Court desires through this Plan to achieve effective control and management of 13 the official court reporters (court reporters). Recognizing the geography of this district and 14 the fact that reporters are assigned to Judges in Peoria, Urbana, and Springfield, the reporters 15 are to be supervised on a day to day basis by the Judge to whom he or she is assigned. 16 Otherwise, the Clerk of Court (Clerk) is authorized administratively by the Court to 17 supervise the combined court reporting operations of the Court. 18 The Plan is designed to: 19 1. obtain effective management of the court reporters by proper supervision and 20 control; 21 2. make clear that court reporters though assigned to a particular judge, serve the 22 court en banc and may be assigned when needed throughout the District for 23 any active judge, senior judge, visiting district judge, or magistrate judge; 24 3. obtain the most effective utilization of the services of court reporters by 25 equitable distribution of the workload at the same site; 26 4. avoid backlogs of transcript and assure prompt delivery of transcripts; 27 5. assure appointment and retention of fully-qualified court reporters and

5

6

7

8

9 10

11

12 13

14 15

16

17

18

19

20 21

22

23

24

25

26

27

28

dismissal of court reporters who are no longer performing in a satisfactory manner;

- 6. minimize the use of contract reporters;
- 7. promote competency, loyalty, and dignity among the court reporters;
- 8. enhance the efficient operation of the court and further its mission.

APPOINTMENT AND DISMISSAL OF COURT REPORTERS. В.

The Court is presently authorized 5 official court reporters stationed in Peoria, Urbana, and Springfield.

The Clerk of Court has been designated by the Court to appoint court reporters with the approval of the Court. Court reporters shall be appointed in accordance with the provisions of the Court Reporter Act, 28 U.S.C. Section 753, and the policies and procedures of the Administrative Office of the United States Courts and the Judicial Conference of the United States. Only fully-qualified reporters shall be appointed as court reporters of this court. Newly appointed court reporters shall be subject to a probationary period of six months. Court reporters who do not perform in a competent and satisfactory manner shall be subject to dismissal by the Clerk.

In accordance with Judicial Conference guidelines, it is the policy of this Court that the Court en banc hires reporters to serve at the pleasure of the Court, regardless of the death, resignation, or retirement of an individual Judge. In the period between the occurrence of a judicial vacancy and appointment of a new Judge, reporters shall continue to serve other active judges, senior judges, and magistrate judges.

C. **DUTIES OF THE CLERK.**

- 1. The duties and responsibilities of the Clerk shall include, but shall not be limited to:
 - a. assignment and reassignment of official court reporters for the purpose of distributing fairly and equitably the workload of all reporters at the

- same site and assuring the best utilization of all reporters involved in the perfection of the record, subject to the provisions of paragraph D;
- b. periodically reviewing transcripts to assure full compliance with format requirements of the Administrative Office of the United States Courts and the Judicial Conference of the United States;
- c. periodically reviewing transcript billing to assure that authorized transcript rates are charged and that billing is in proper form, including the requirement of certification that the fee charged and the page format conforms to the requirements of the Judicial Conference of the United States;
- d. determining compliance by all court reporters and recorders with the rules and regulations concerning the recording and filing of arraignments, pleas, and sentencings;
- e. periodically reviewing the time records of the court reporters to assure proper maintenance and accuracy;
- f. reviewing the records of the court reporters to assure the timely filing of all reports required by the Administrative Office of the United States

 Courts and the Judicial Conference of the United States;
- g. requiring the court reporters to submit those reports mandated by the Judicial Conference to the Clerk for review and signature on a timely basis;
- h. at least annually, filing with the Court a report concerning the work of the court reporters;
- i. performing such other duties relating to court reporting services as shall be directed by the Court.

D. <u>ASSIGNMENT OF COURT REPORTERS.</u>

1. Court reporters serve the Court en banc; therefore, it is within

the discretion of the Clerk to assign and reassign court reporters to active judges, senior judges, visiting district judges, and magistrate judges, in a manner designed to equally distribute the total court reporting workload efficiently and cost effectively. When necessary and depending upon availability, a court reporter may be reassigned by the Clerk to another Judge of the Court, to a senior judge, or other judicial official. When necessary and in accordance with the policies of the Administrative Office of the United States Courts and the Judicial Conference of the United States, contract reporters will be utilized to meet the needs of the Court.

- 2. The use of contract reporters and per diem reporters shall be kept to an absolute minimum. Judicial Officers will minimize the travel of court reporters by utilizing official reporters stationed in other division, if available, when conducting proceedings in those division.
- 3. As employees of the Clerk's staff, each court reporter is assigned a regular tour of duty consisting of forty hours per week as specified by the Judge to whom he or she is assigned. An answering machine or voice mail shall be operational during any absence from their office. Court reporters shall be assigned in a manner which best meet the actual court reporting needs of the Court, regardless of whether the services are for active judges, senior judges, or other judicial officials.
- 4. Out-of-district travel of court reporters shall be kept to a minimum. Judicial Officers will utilize official reporters stationed in other districts, if available, when conducting proceedings in those districts.
- 5. Reporter services for senior and magistrate judges shall be provided through a combination of official reporters and contract court reporters.

E. TRANSCRIPTS.

1. Reporters shall require that all transcript orders be made in writing. All

transcript orders e-filed with the Court will immediately send a Notice of Electronic Filing (NEF) to the official court reporters. The reporters may require a deposit, not to exceed the estimated costs of the transcript, before beginning work on the transcript. Upon completion of the transcript and receipt of full payment, the court reporter shall deliver the transcript to the ordering party or parties in electronic or paper form. The court reporter shall deliver on disk or e-mail the transcript to the Clerk of Court for e-filing within three days after delivery to the party or parties. Reporters shall make their records of transcript orders available to the Clerk upon request to insure that transcripts are being delivered promptly.

- 2. Reporters shall not agree to "expedite" any transcript which will delay the preparation of transcripts necessary for appeal. Unless otherwise directed by the clerk, transcripts in civil cases generally should be prepared in the order in which requests are received. Preparation of criminal transcripts generally shall take precedence over preparation of civil transcripts.
- 3. Production of daily and hourly transcripts shall not be subsidized by the Court. If extra reporters are required to produce expedited transcripts, their fees shall be paid out of the earnings derived from those higher transcript rates established by the Judicial Conference of the United States. Other court reporters may, however, assist with the production of daily and hourly transcripts when there are no other judicial proceedings to record, including those of magistrate judges, and where no transcript backlog will result.
- 4. The rates charged for transcripts produced by court reporters must conform to the current fee schedule (Appendix A).
- 5. Apportionment of accelerated transcript costs among parties in criminal cases is prohibited.
- 6. Transcripts not delivered within the specified time periods prescribed by the Court are subject to a fee discount provision as follows:

- a. Transcripts ordered for District Court purposes shall be delivered within thirty (30) days. Reporters who do not deliver transcript within thirty (30) days may charge only 90% of the prescribed fee unless an extension of time for the delivery of transcript has been granted by the Clerk of the District Court. The extension of time, if granted, will not exceed fifteen (15) days, unless extraordinary circumstances exist as determined by the Clerk.
- b. Transcripts ordered for appeal purposes shall be delivered within thirty (30) days unless an extension of time for delivery of the transcript has been granted by the Clerk of the Court of Appeals. Reporters who do not deliver transcripts within thirty (30) days may charge only 90% of the prescribed fee; reporters who do not deliver transcript within sixty (60) days may charge only 80% of the prescribed fee, unless a waiver of the sanction provision has been granted by the Clerk of the Court of Appeals.
- 7. The original transcript must be submitted to the Clerk in .pdf format to be electronically filed in accordance with the E-Government Act of 2002 within three working days of delivery to the ordering party. Access to the e-filed transcript shall be limited to the ordering party or view only in the Clerk's office for 90 days in accordance with Judicial Conference of the United States policies. Due to privacy issues voir dire transcripts shall remain sealed through PACER, but may be viewed at the public terminal in the Clerk's Offices.
- 8. Reporters are required to assure full compliance with format requirements of the Administrative Office of the United States Courts and the Judicial Conference of the United States.

F. MAGISTRATE JUDGES.

Proceedings before the U.S. Magistrate Judges may be recorded by electronic sound recording or by a court reporter. The Magistrate Judge shall determine which technique is to be used in each case or hearing, taking into account the provisions of 28 USC 753(b) and 636 (c)(7). Court reporters shall be used to record misdemeanor trials and related hearing before a U.S. Magistrate Judge if a timely demand for services of a court reporter is made.

8

9

10

11

12

13

14

1

2

3

4

5

6

7

G. MISCELLANEOUS.

- 1. Court reporters shall not perform any private (freelance) work.
- 2. The work of the court reporters shall be "note-readable" so that the stenographic notes of a court reporter can be read by another reporter in the event of an emergency.
- 3. A copy of the court reporter's dictionary shall be filed in the court reporter note storage area on the file server and updated every six months.
- 4. A copy of the computerized steno notes and/or corresponding logs shall be kept in a location accessible by the clerk's staff in case of the unavailability of the court reporter for emergency production of transcript. The computerized steno notes and logs are to be filed with the Clerk of Court upon termination of employment. Transcripts will be produced by the Clerk's Office only in the event of unavailability or unwillingness of the court reporter to produce transcripts.
- 5. The marking, filing and storing of reporters' notes, compact disks, and recorded tapes shall be in accordance with the note storage procedures approved by this Court and outlined in this Plan (Appendix B).
- 6. Court reporters are encouraged to provide realtime translation upon request of parties, but must comply with the Realtime Reporting Standards promulgated by the Judicial Conference of the United States (Appendix C).

15 16 17

19 20

18

21

22 23

24

25

26

27

7. Court reporters shall earn annual leave in accordance with the provisions of 1 the Leave Act, 5 U.S.C. Section 6301, et seq. Guidelines for the 2 administration of leave are addressed in Chapter 3, of the Personnel Manual 3 for the Central District of Illinois. 5 6 7 Η. **AUTHORITY OF CLERK.** 8 The Clerk shall administer this Plan on behalf of the Court, and the Clerk is fully 9 authorized and empowered to implement and carry out the terms of this Plan. The 10 Clerk shall be responsible for the supervision of the work of the court reporters, and 11 for compliance with all requirements of the Judicial Conference of the United States and of the Administrative Office of the United States Courts. This plan shall take 12 effect upon approval of the Seventh Circuit Judicial Council and the Administrative 13 Office of United States Courts. 14 15 16 Dated: May 27, 2008 17 s/ Michael P. McCuskey s/ Joe Billy McDade 18 MICHAEL P. McCUSKEY, Chief JOE BILLY McDADE United States District Judge 19 United States District Judge s/ Michael M. Mihm 20 s/ Jeanne E. Scott 21 MICHAEL M. MIHM JEANNE E. SCOTT United States District Judge United States District Judge 22 23 24 25 26 27

Attachment A was removed by Order of the Court on 4/3/18. Transcript Fee Rate information may be found in a separate document on the Court's Internet site.

APPENDIX B

PROCEDURES FOR STORAGE OF COURT REPORTERS' PAPER NOTES, COMPACT DISKS, AND TAPES

All original notes and tapes are to be relegated to the Clerk of Court within 90 days after the conclusion of the proceeding. If a transcript is ordered in a case where the notes have been submitted to the Clerk, the court reporter shall retrieve the notes from storage and shall refile the notes when the transcript is filed.

Storage of Original Notes.

- 1. All notes are to be chronologically filed and placed in FRC boxes which can be obtained from the Clerk's Office.
- 2. The outside of the box should be marked with a label indicating the court reporter's name and calendar year, month and dates contained within.
- 3. If the notes for a particular month are too voluminous to be stored in one box, use as many boxes as necessary and identify them in sequence. Conversely, if the notes for a particular month do not fill one box, several months' notes may be stored in the box as long as it is identified.
- 4. Each packet of notes is to be certified and should include the case number, case caption, presiding judge, date of proceeding and court reporter's name.
- 5. Each box of notes must contain a <u>Filing Certification Form for Original Notes</u>

 (Attachment 1). In order to maintain the security of original notes, it is recommended that each reporter place his/her notes in packets and secure them at the end of the day.

Storage of Original Tape Recordings.

The following procedure is to be followed if .wav files are not produced. In accordance with 28 U.S.C. § 753(b), court reporters are required to file with the Clerk of Court either a transcript or an electronic sound recording of all arraignments, pleas, and sentencings. Accordingly, all tape recordings of these proceedings are to be delivered to the

3

PROCEDURES FOR STORAGE OF COURT REPORTERS' PAPERLESS NOTES

provide the court with computer files of the steno notes rather than paper steno notes. To

ensure that the notes are accessible to the court, standards relative to the file format, file

copy of the notes available, while also providing data redundancy.

named with the date or date range of the proceedings.

The CD will be stored in the District-owned CD cabinet.

name, file organization and media are included in the following general procedures for the

Copying directly from the notes files created by the steno machine, the steno notes

will be stored on one of the District network servers, ensuring that there is an off-site

The steno notes files will also be copied to the court reporter's personal computer to a

folder named with the current month and year. Using the paperless steno machine's

Acrobat PDF file, a recognized and accepted industry standard for document images,

At the end of each month, the court reporter will copy that month's PDF files and raw

steno notes to a compact disk (CD). The CD will be clearly labeled as to its contents.

accompanying software, the court reporter will print the steno notes to an Adobe

With the use of new paperless stenograph machines, the court reporter must now

4

5

6 7

0

8

9

Overview

1.

2.

handling of paperless steno notes.

10

11

12

13

14

15

16

17

18 19

- /

2021

22

23

24

25

26

27

28

Standards

1. Stored Notes on a Network Server. The paperless stenograph machines write the notes to an electronic storage device. At least weekly, the court reporter will copy the files from the electronic storage to the designated district network folder. Under a shared network folder, each court reporter will have a folder named with the court reporter's name. In the court reporter's folder, the court reporter will create a subfolder named with the date range of the notes it will contain. The naming convention

of "ddmmyy-ddmmyy" will be used for the folder names. The court reporter will

then copy the notes files from the electronic storage device to that folder. The next set of notes from the next storage device will be copied to a new folder named with that storage device date range.

- 2. <u>File Format for the Printed Image</u>. The court reporter will print each set of notes to Adobe PDF file. The notes print to the PDF file in three columns set at 8 ½ x 11 inches, and each column of notes is identical in appearance of notes on regular steno paper.
- notes files and the PDF files will be stored in a folder on the court reporter's computer, with a sub-folder created for each month and year. For example, if the main steno note folder is C:\StenoNotes, then the folders for the first three months of 2003 would be named c:\stenonotes\jan2003, c:\stenonotes\feb2003, and c:\stenonotes\mar2003. The court reporter will print the steno notes to PDF and save the PDF files, along with the raw steno notes files, in the appropriate month/year folder.
- 4. <u>Steno Notes and PDF File Names</u>. Adobe PDF files created from steno notes shall be named with the date or date range of the proceedings plus a dash character "-" plus the court reporter's initials (<u>first-middle-last</u>), in the format: ddmmyy-fml.pdf or ddmmyy-ddmmyy-fml.pdf. Steno notes files should follow this date-name convention, with the exception of the fact that the file extension will be the extension employed for steno notes created by the court reporter's particular CAT software.
- 5. <u>Creating Archive CD/DVDs</u>. All steno notes files plus all text, .WAV files for each month for each court reporter will be written to compact disk (CD/DVD). The court reporter will create CD/DVD labels to adhere to the month's CD/DVD. The CD/DVD labels will contain the court reporter's certification language as well as lines on which to provide the dates of the notes and the court reporter's signature.

6. <u>CD/DVD Storage</u>. Within thirty days following the close of a month, the court reporter will file that month's CD/DVD in a common CD/DVD storage cabinet designated by the court reporter supervisor.

1	(Attachment 1)
2	
3	
4	
5	
6	
7	
8	
9	UNITED STATES DISTRICT COURT
10	CENTRAL DISTRICT OF ILLINOIS
11	
12	
13	
14	FILING CERTIFICATION FORM FOR ORIGINAL NOTES
15	
16	In accordance with 28 U.S.C. § 753, I hereby certify that the original notes tendered herein for filing with the Clerk of Court are
17	the full, true and correct notes taken during oral proceedings in the United States District Court for the Central District of Illinois and
18	includes District Court, Magistrate Court, and other official hearings held in said district.
19	
20	
21	(Date) (Signature of Court Reporter)
22	(S.S. and T. of Court Itepotion)
23	
24	
25	
26	
27	
28	

2

3

4

5 6

7 8

9

10

11

12

13

14 15

16

17

18

19 20

21

22

23 24

25

26

27

28

APPENDIX C

Realtime Reporting Standards

1. General Policy.

As adopted in March 1996, it is the policy of the Judicial Conference that effective June 1, 1996, a new category of "realtime unedited transcript" has been established. Realtime unedited transcript is defined as "a draft transcript produced by a Certified Realtime Reporter (CRR) as a byproduct of realtime to be delivered electronically during the proceedings or immediately following adjournment". Realtime includes the following services:

- The instantaneous translation of the proceedings on a computer monitor:
- The opportunity to scroll forward and backward, search the record for key words or phrases and mark portions of the text using viewer/annotation software: and
- The realtime unedited transcript on diskette delivered during the proceedings or at the end of the day.

When realtime services are requested by a party to the case, a CRR may charge and collect for realtime unedited transcript. CRRs should not sell realtime unedited transcript to anyone who is not a party to the case without prior approval of the presiding judge.

As adopted in March 1999, it is the policy of the Judicial Conference that a litigant who orders realtime services will be required to purchase an original certified transcript of the same pages of realtime unedited transcript at the regular rates (ordinary, expedited, daily, or hourly). Likewise, a litigant who orders a copy of a realtime unedited transcript will be required to purchase a certified copy of the same pages of realtime unedited copies at the regular copy rates (ordinary, expedited, daily, or hourly.) Judicial Conference policy on payments for transcripts ordered pursuant to the Criminal Justice Act (CJA) remains in effect.

2. Qualifications of Reporters Who May Charge for Realtime Unedited Transcript.

a. Judicial Conference Policy.

Official court reporters who have successfully completed the certified Realtime

Reporter examination offered by the National Court Reporters Association (NCRA), or who have passed an equivalent qualifying examination, are recognized as Certified Realtime Reporters. CRRs are permitted, but not required, to sell realtime unedited uncertified transcript on diskette.

b. Equivalent Qualifying Examinations.

The CRR examination consists of five minutes of professionally audio-recorded dictation (straight matter) at variable speeds ranging from 180-200 words per minute. At a 96% accuracy rate, reporters must produce a simultaneous translation and display of live proceedings utilizing computer-aided translation within 5 seconds of stenotype input. Without editing, the reporter must produce an ASCII (computer language) text file on diskette. Reporters are required to provide all necessary personal equipment and software (computer, and display, write, cable, and realtime software). Any other qualifying examination must be equivalent to the NCRA examination.

3. Production.

The transcript formal guidelines prescribed be the Judicial Conference apply to realtime unedited transcript with the following exceptions:

- a. Realtime unedited transcript must be clearly marked as such with a header or footer which appears at the top or bottom of each page of the transcript, or a computer-generated watermark on each page stating, "Realtime Unedited Transcript Only".
- b. The realtime unedited transcript should not include an appearance page, an index, or a certification.
- c. The diskette label may be of a different color than that used on diskettes containing the text of certified transcript and hand stamped with the words, "Realtime Unedited Transcript Only".

Realtime unedited transcript sold on computer diskette may be in ASCII format, or any other format requested by the ordering party and agreed to by the court reporter. It

should include any notations made to the electronic file by the ordering party during the proceedings. Diskettes may not contain any protection or programming codes that would prevent copying or transferring the data.

All parties requesting realtime services shall be responsible for providing their own personal computers, viewer/annotation software, and monitors. Upon the request of the parties, reporters may make equipment and software available at no additional charge. The CRR shall provide wiring and data communications connections needed to provide realtime services to these persons. Parties should coordinate and pre-test their equipment with the CRR before official proceedings begin.

4. Distribution.

A CRR providing realtime unedited transcript should offer comparable services to all parties to the proceeding. The primary purpose of realtime unedited transcript is to provide access to a draft transcript of the proceedings on diskette at the end of each day. It is not intended to be used in subsequent proceedings for impeachment or for any other purpose, including further distribution.

It should be noted that when realtime unedited transcript is provided, there may be two versions of the transcript for one proceeding - unofficial and official. The realtime unedited transcript may contain errors, some of which could change the accuracy or meaning of the testimony. A realtime unedited transcript will not satisfy the requirement for the reporter to provide or file a certified transcript with the district court clerk or as the record on appeal.

Realtime unedited transcript may only be distributed to ordering parties to the case. It should not be made available to the public, including news organizations or other non-participants. It is recommended that each CRR request that parties acknowledge receipt of a realtime unedited transcript by signing a disclaimer which explicitly states that the ordering party is aware that the realtime unedited transcript is not an official record of the court proceedings. A sample Realtime Unedited Transcript Disclaimer is attached (Attachment 2).

1	(Attachment 2)
2	SAMPLE
3	
4	REALTIME UNEDITED TRANSCRIPT DISCLAIMER IN THE MATTER OF
5	
6	
7	
8	v.
9	
10	
11	
12	The following transcript(s) of proceedings, or any portion thereof, in the above-entitled matter, taken on any date, is being delivered UNEDITED and UNCERTIFIED by the official court reporter at the request of
13	
14	The purchaser agrees not to disclose this realtime unedited transcript in any form (written or electronic) to anyone who has no connection to this case. This is an unofficial transcript which should NOT be relied upon for purposes of verbatim citation of testimony.
15	
16	This transcript has not been checked, proofread or corrected. It is a draft transcript, NOT a certified transcript. As such, it may contain computer-generated mistranslations of stenotype
17	code or electronic transmission errors, resulting in inaccurate or nonsensical word combinations, or untranslated stenotype symbols which cannot be deciphered by non-
18	stenotypists. Corrections will be made in the preparation of the certified transcript, resulting in differences in content, page and line numbers, punctuation, and formatting.
19	This realtime unedited transcript contains no appearance page, certificate page, index, or
20	certification.
21	
22	Signature of Purchaser Date
23	Dute
24	
25	Signature of Official Reporter Date
26	Date Date
27	
28	